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Fiduciary - Conflict of Interest Exemptions

Comment On: EBSA-2014-0016-0005

Proposed Amendment to Prohibited Transaction Exemptions, Exemptions from Prohibitions Respecting Certain Classes of Transactions Involving Employee Benefit Plans and Certain Broker-

Dealers, Reporting Dealers and Banks

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General Comment

Please do NOT restrict or ban option trading in retirement accounts. All brokers review applications to trade options and can say no to those not qualifying. To restrict/ban all is regulatory overreach and harmful to appropriate individual initiative. Whose money is it anyway?